- 1 recall?
- 2 A There would be times he would ask for me to
- 3 research frequency information or to pull up a piece of
- 4 paper here or to go get this or that. I couldn't put it to
- any particular dates and time, he would just ask me, you
- 6 know, to do this, do that or ask information.
- 7 Q Okay. And did that general state of affairs exist
- 8 from the Net Wave petition in November of '97 up to and even
- 9 including today?
- 10 A Yes.
- 11 Q So when Ms. Lancaster asked you questions about
- 12 specific documents, you weren't aware of specific FCC
- 13 communications or responses, were you?
- 14 A In reference to specific dates, times, it was just
- in reference to questions asked or information to be needed
- or gathered or whatever.
- 17 Q Were you aware that DLB and the individuals were
- making responses to the FCC?
- 19 A Yes. I had every faith they were.
- 20 Q Okay. And did you have an occasion to talk with
- 21 your father about him handling that project?
- 22 A Yes.
- Q What did you understand about the specialty of the
- law firm, Brown & Schwaninger, which is now Schwaninger &
- Associates? Did you have any understanding about their

- 1 competence in handling these matters?
- 2 A Yes. I had all the confidence in the world. That
- 3 is information that Ron shared with me, that they were
- 4 Washington based attorneys which specialized in FCC rules
- 5 and regs.
- 6 Q So your state of mind, you were comfortable that
- 7 all actions were taken to respond and competent counsel was
- 8 engaged. Is that correct?
- 9 A Yes, sir.
- 10 Q Regarding the substance of the communications that
- 11 have been made to the FCC on behalf of DLB Enterprises and
- 12 yourself, are you aware of specific facts that you have
- 13 personal knowledge of and that you have communicated those
- 14 to the attorneys for further communication to the FCC?
- 15 A Yes.
- 16 Q And how have you responded to questions posed to
- 17 you that pertain to matters you particularly know about?
- 18 A From the best of my ability and the best of what
- 19 I could do. You know, not hide anything, just try to put
- 20 the right information there.
- 21 Q And have you attempted to be candid and frank and
- 22 honest in all the information you've provided to your
- lawyers to provide to the FCC?
- 24 A Yes.
- 25 Q Now, earlier today, you probably got the sense

- that Ms. Lancaster didn't fully appreciate that your state
- of mind was limiting your answers to the years '95 or '96
- 3 with regard to some of the request for admissions. Do you
- 4 recall that exchange?
- 5 A Yes, sir. I do.
- 6 Q Do you remember seeing any instructions on the
- 7 FCC's request for admissions that told you to do it any
- 8 different way?
- 9 A No, sir. I did not.
- 10 Q Did you come by any other information that said
- 11 that that was not a proper way to do it?
- 12 A No. I was not directed by anyone.
- 13 Q And have you received any written objections or
- other information from the FCC saying they had a problem
- 15 with how you responded to those?
- 16 A No, sir. I did not.
- 17 Q Now, you're not telling us that things couldn't
- 18 have been different or been done a better way; you're just
- 19 saying you did it the best to your ability at that time?
- 20 A Yes, sir. I did.
- 21 O Now, there are some topics that have been
- discussed by your father, for example, in these written
- 23 submissions to the FCC. Do you generally know what I'm
- 24 talking about, some of these other areas?
- 25 A Yes.

1	Q Have you been involved or overheard discussions
2	between your father and other members of DLB Enterprises
3	about the nature of the matters he was going to communicate?
4	A No.
5	Q Has the topic, for example, of the 1996 Sumpter
6	applications come up?
7	A In passing, probably.
8	Q What about your understanding of the O.C. Brasher
9	response and explanation for why your father signed
10	O.C. Brasher's name? Have you had a chance to discuss that
11	with your father?
12	A No.
13	Q Did you know that in fact O.C. Brasher's affairs
14	were being managed by your parents?
15	A Yes, I was aware of that.
16	Q Now that you have seen the substance of the
17	responses from your father, is that consistent with your
18	recollection of how your father thought he had authority to
19	deal on behalf of O.C. Brasher?
20	A Yes.
21	JUDGE STEINBERG: In other words, he never told
22	you anything different?
23	THE WITNESS: No, sir. He did not.
24	BY MR. PEDIGO:

So even though you didn't specifically edit or

25

Q

- 1 proofread these documents, now that you've had a chance to
- 2 look at some of these answers, are they substantially
- 3 correct, in your opinion?
- 4 A Yes, they are.
- Now, for example, the fact that you were listed as
- 6 a director of DLB Enterprises, do you have an opinion of
- 7 that either way?
- 8 A To this day, the original incorporation paper does
- 9 not list me as a director, so that's the only proof I have
- 10 there or whatever.
- 11 Q So the request for admission where you denied
- 12 being a director, you thought that was accurate at that time
- and you think it's accurate today?
- 14 A Yes, I do.
- 15 Q Okay. And if there is a representation to the FCC
- 16 that you were a director, what's your opinion about the
- 17 accuracy of that?
- 18 A It's false.
- 19 Q Okay. Now, let me get to the real guestion. Did
- 20 you do everything in responding to the FCC to the best of
- 21 your ability when they asked you that question?
- 22 A Yes.
- Q Would you have answered more fully or differently
- 24 if you had been a director rather than being just an
- 25 officer?

- 1 A Don't think so.
- 2 Q You tried to answer to the best of your ability.
- 3 A Right.
- 4 Q The distinction between a director and an officer
- 5 was not important to you, was it?
- 6 A No, it was not.
- 7 Q And even if that's not legally accurate,
- 8 it wouldn't have affected the substance of what you
- 9 had to say.
- 10 A No. The answers would have been the same.
- 11 Q Ms. Lancaster asked you about the access and
- 12 control that the licensees would have over their stations.
- Do you recall that line of questioning?
- 14 A Yes, sir. I do.
- 15 Q Do you need access to the physical repeater that
- 16 has the station loaded on it to be in control of the
- 17 station?
- 18 A No.
- 19 Q In fact, have some of the Sumpters requested that
- 20 their stations be turned off?
- 21 A I believe so.
- MS. LANCASTER: Objection unless he has personal
- 23 knowledge of that, Your Honor.
- JUDGE STEINBERG: You can ask it on redirect or
- 25 Mr. Pedigo can ask it now, but we have an answer.

1	BY	MR.	PEDIGO:

- 2 Q Are you aware that some of the Sumpter stations
- 3 have been turned off?
- 4 A I believe so. Yes.
- Okay. And how do you -- do you know why their
- 6 stations were turned off?
- 7 A From a request.
- 8 Q So in terms of the ultimate control as to whether
- 9 that station is operating or not, are you satisfied that
- 10 they control that station?
- 11 A They do. It was turned off at their request.
- 12 Q Are you aware of any dispute where a licensee has
- asked DLB Enterprises to turn off their station and that
- 14 request has not been honored?
- 15 A None.
- Or are you aware of any request by a licensee to
- 17 transfer the license when that request has been ignored?
- 18 A None.
- 19 Q And when the Sumpters presented their concerns in
- the winter of 1997, do you recall generally what they wanted
- 21 to have happen to their licenses?
- 22 A To be transferred out of their name.
- 23 Q And was that the first time that you recall that
- 24 request being made?
- 25 A Yes.

- 1 Q And what immediately followed after that request
- was received by people at DLB Enterprises?
- 3 A I believe there was an application for transfer
- 4 submitted or assignment.
- 5 Q Ms. Lancaster asked you some questions about
- 6 particular rights that the licensees had in terms of
- 7 managing or marketing their stations. Do you recall that?
- 8 A Yes.
- 9 Q With regard to how those stations were to be
- 10 managed or marketed, were there ever any requests for
- 11 specific actions to be taken that were ignored by DLB
- 12 Enterprises?
- 13 A No.
- 14 O Was the discretion on how those activities would
- be handled, was that left to DLB Enterprises?
- 16 A Yes, it was.
- 17 Q Did Mr. Sumpter, the accountant, ever ask for any
- more detailed accounting information than what he was
- 19 already provided?
- 20 A No, he did not.
- 21 Q If you could look at Exhibit 44, please, David?
- 22 Do you have that in front of you?
- 23 A Yes, I do.
- Q Okay. And what do you recognize that to be?
- A A copy of a radio station license issues to

- 1 Sumpter, Norma.
- 2 Q And what is the call sign on that?
- 3 A WPCF910.
- 4 Q If you could look in the body of that document, do
- 5 you see the frequency listed?
- 6 A Yes, I do. 936.73750.
- 7 Q And what do you understand that frequency to be?
- 8 A This is a user's license associated to our trunk
- 9 system.
- 10 Q Is that the T-band?
- 11 A No. It's the 900.
- 12 Q That's the 900 series. When you think of
- 13 licensees and stations and frequencies, how in the business
- do you think of the information contained on this exhibit?
- 15 A Merely by the frequency numbers.
- 16 Q Is that how it's discussed, for example, with
- 17 Mr. Thomas Lewis? Maybe Mr. Lewis is a bad example. Let's
- 18 say other people knowledgeable with the technical parts of
- 19 DLB Enterprises.
- 20 A Well, the techs, the service manager, myself, we
- 21 only deal with the frequency number because that's what has
- to be programmed into the stations, as well as to each
- 23 mobile.
- Q So is it your opinion that the ability to comply
- with FCC requirements fundamentally calls for an

- 1 understanding of the frequencies?
- 2 A Yes.
- 3 Q When you read the Net Wave petition back in 1997,
- 4 did you understand the general allegation that they were
- 5 making?
- 6 A Somewhat, yes.
- 7 Q And as you sit here today, could you tell us what
- 8 you believe the general allegation was?
- 9 A The general allegation was that we were running an
- 10 illegal system in their eyes. What I reference there is
- 11 that we had --
- MS. LANCASTER: I'm sorry, I didn't hear.
- 13 THE WITNESS: We had a T-band system up and
- 14 operating under multiple names.
- 15 BY MR. PEDIGO:
- 16 Q Was there an allegation that the use of multiple
- names facilitated this unlawful objective?
- 18 A It alluded to a lot of things and I remember --
- 19 MS. LANCASTER: Your Honor, I'm going to object.
- 20 I think the allegations contained in the HDO speak for
- 21 themselves.
- 22 MR. PEDIGO: No, I'm talking about --
- JUDGE STEINBERG: He was talking about the Net
- 24 Wave petition.
- MS. LANCASTER: Well, that speaks for itself, too.

- JUDGE STEINBERG: But we're trying to -- I think
- 2 the questions are eliciting what Mr. Brasher thought was
- 3 being alleged by Net Wave.
- 4 Am I correct?
- 5 MR. PEDIGO: Yes, Your Honor.
- JUDGE STEINBERG: What was in his mind when he
- 7 reviewed the petition. Unless I lost something in there.
- 8 MS. LANCASTER: His interpretation of it.
- 9 JUDGE STEINBERG: Yes,
- 10 MS. LANCASTER: I'll withdraw the objection.
- MR. PEDIGO: Thank you.
- 12 THE WITNESS: Can you ask that again?
- BY MR. PEDIGO:
- 14 Q What did you understand that Net Wave had alleged
- regarding the use of the multiple names or applicant names
- to achieve this unlawful objective?
- 17 A That it was allowing us to obtain an unlawful
- 18 competitive edge or better edge than what other people could
- 19 if we hadn't followed certain set rules or whatever.
- 20 Q Okay. Now that you are familiar with what
- 21 information is publicly available, in fact, how did Net Wave
- 22 even understand that all these licenses were part of the
- 23 same package?
- 24 A They accessed the website and pulled public
- information and compiled it and used the control points,

- from my understanding, which is 2244 Larson Lane.
- 2 Q And what business is located at 2244 Larson?
- 3 A That is Metroplex Two Way.
- 4 Q And Net Wave is a local Dallas, Fort Worth
- 5 business? Is that correct?
- 6 A Yes, they are.
- 7 Q Would they have known the significance of listing
- 8 2244 Larson?
- 9 A Either that or the phone number itself. There's
- 10 two pieces there.
- 11 Q Okay. And so using public information they were
- able to pull together that all these applications were part
- of the same bundle or related to the same enterprise?
- 14 A Yes. As well as they had a 353 handheld AD
- 15 program that extracted information also.
- 16 Q Do you recall any attempt to conceal or any
- discussions where the use of multiple names was used as a
- 18 technique to conceal that these applications were all to
- 19 benefit DLB Enterprises, to be used in DLB Enterprises'
- 20 business?
- 21 A No.
- 22 Q In fact, that information is clearly revealed by
- public information, isn't it?
- 24 A Yes.
- 25 Q Now, have you also come to understand that there

- 1 might have been another way to apply for these licenses
- 2 rather than using the names of the Sumpters?
- 3 A Can you say that again?
- 4 Q Do you have an understanding whether there might
- 5 have been another way to achieve that same result in terms
- of getting more spectrum?
- 7 A No, I don't think so.
- 8 Q Well, for example, could DLB Enterprises have
- 9 applied for those licenses?
- 10 A With what I know now?
- 11 Q I'm sorry, I didn't make that clear. With what
- 12 you know now.
- 13 A With what I know now, yes, they could.
- 14 O So the fact that all these licenses were
- 15 ultimately granted and used by DLB Enterprises, based on
- 16 what you know now, do you think that DLB Enterprises ever
- 17 got any FCC benefit they weren't entitled to have?
- 18 A No.
- 19 O In fact, even the way that DLB went about
- 20 obtaining these licenses, by using the other applicants'
- 21 names, whose advice was it to do that?
- 22 A It was what I call people we relied upon who were
- in the professional position to give us that information,
- like the coordinators and PCIA. That right there.
- 25 Q So the applications that were filed in June 1996

- were done pursuant to professional advice from people
- 2 learned in the field of FCC applications?
- 3 A Yes.
- 4 Q As far as you know, did DLB attempt to follow that
- 5 advice completely?
- 6 A Yes.
- 7 Q If you could describe, who are some of the
- 8 customers for DLB Enterprises, in particular I would like
- 9 the Court to understand the public service benefit and
- 10 potential detriment if some of the licenses were -- some or
- all of the licenses were affected by rulings in this matter.
- 12 A We have -- as earlier stated, we have a very large
- 13 concrete company --
- MS. LANCASTER: Your Honor, I'm going to object to
- 15 the relevance of this testimony.
- 16 JUDGE STEINBERG: I think I went over this with
- 17 Mr. Romney.
- MR. PEDIGO: Yes, Your Honor.
- 19 JUDGE STEINBERG: And I am going to repeat to the
- 20 best of my knowledge the impact on individual -- I don't
- 21 know of any cases, Commission cases, where the impact on the
- 22 general public or the customers of the loss of a license was
- 23 weighed by the Commission as a factor. I don't think this
- 24 falls within -- I also spoke about the interests of the
- individual, the financial interests of the individual in the

- outcome of the proceeding, that is relevant, but this
- 2 question doesn't seem to fall within that.
- MR. PEDIGO: Yes, Your Honor. I understood the
- 4 Court's rulings. I will tell you that among counsel we have
- 5 had a discussion and --
- 6 JUDGE STEINBERG: You disagree with that?
- 7 MR. PEDIGO: Well, we do plan to present the Court
- 8 with information, we think that that would be something that
- 9 would be appropriate to consider, and I will just -- two or
- three more questions to make sure that there is information
- in the record for you to do what you want with later on,
- 12 after we brief that point.
- JUDGE STEINBERG: Okay. I'll overrule the
- 14 objection with that understanding. And if you want to make
- it in the nature of an offer of proof for whatever --
- MR. PEDIGO: Yes, Your Honor.
- 17 JUDGE STEINBERG: Then you can do that and --
- 18 well, I would just leave it as testimony because then if
- 19 it's an offer of proof, then somebody's got to move that
- 20 I accept it.
- 21 MR. PEDIGO: Yes, sir.
- 22 BY MR. PEDIGO:
- 23 Q Just a couple of questions discussing some of the
- 24 customers that DLB Enterprises or Metroplex services and how
- 25 having to switch two-way radio providers could harm them.

- 1 A The first incurment would be they would have to
- 2 find a provider. Some of these are rather large customers.
- 3 There is other radio shops that could or could not handle
- 4 their volume.
- 5 The cost to them would be they would have to have
- 6 reprogramming fees as well as they would be running their
- 7 units without communications which would probably disrupt
- 8 their ability to generate their mission or complete their
- 9 mission with concrete pouring or rock delivering or even
- 10 delivering an ambulance to a patient.
- 11 O And if some licenses were taken but others were
- 12 left in place and you had to do a substantial reprogramming
- of mobile units, would that also be difficult on DLB
- 14 Enterprises as well as those customers?
- 15 A It would impact DLB. That would require me to
- visit every unit to reprogram it, as well as not have
- 17 communications for those customers or for those other
- 18 customers or companies.
- 19 Q Do you recall the request to turn off one or two
- of the Sumpters' stations affecting your ability to service
- 21 existing customers?
- 22 A The two licenses that were turned down did not
- 23 impact Allen.
- Q And could you explain why those particular
- licenses did not affect DLB Enterprises' ability to continue

- operations, but at a certain point why losing licenses
- 2 particularly in Allen would have an affect?
- 3 A The first part I believe is that the license that
- 4 we have up and operational at this time can handle the
- 5 volume. If we lose any additional, it will shrink the
- 6 ability for the other units to gain access and it will
- 7 impact the customer in reference to not having access to the
- 8 repeating system by turning the license down or losing a
- 9 license.
- 10 Q So when the repeaters are trunked, you need to
- 11 trunk them so that you have a certain amount of volume of
- 12 regular traffic that you can handle. Is that correct?
- 13 A Yes.
- 14 Q So as long as the system in the aggregate can
- handle the volume, that's what the customer cares about.
- 16 A Yes.
- 17 Q And the volume is not tied to particular
- 18 repeaters, it's to the overall system.
- 19 A That is correct.
- 20 Q That's why the licenses turned off so far have
- 21 not --
- 22 A Have not impacted.
- 23 Q At the time those applications were submitted in
- June of 1996, was there a need for that spectrum?
- 25 A Yes, there was.

- 1 Q In order to expand. I'm sorry.
- 2 A It was needed for the two concrete companies.
- MR. PEDIGO: Nothing else at this time,
- 4 Your Honor.
- 5 JUDGE STEINBERG: Mr. Romney?
- 6 CROSS-EXAMINATION
- 7 BY MR. ROMNEY:
- 8 Q Mr. Brasher, did you have any conversations
- 9 yourself personally with Mr. Jim Sumpter about his license?
- 10 A No, sir. I did not.
- 11 Q Did you personally have any conversations with
- 12 Norma Sumpter about her license?
- 13 A No, sir. I did not.
- 14 Q Did you ever have any conversations with Melissa
- 15 Sumpter about her license?
- 16 A No, sir.
- 17 Q How about Jennifer Hill?
- 18 A No, sir. I did not.
- 19 Q How about Ms. Lutz? Did you have any
- 20 conversations with her about her license?
- 21 A In the past months, yes.
- 22 Q In the past months since the time of the --
- 23 A Since the Net Wave.
- Q Were you under the impression, sir, that she knew
- 25 that she had a license?

- 1 A Yes, she did.
- Q Did she ever deny the fact of having a license to
- 3 you?
- 4 A No.
- Now, you stated that you're aware that two of the
- 6 Sumpter licenses were turned off. To your knowledge, did
- 7 anybody else ask DLB to turn off any of the stations
- 8 associated with the licenses obtained?
- 9 A No, sir. They have not.
- 10 Q If they had asked that to be done, would it have
- 11 been done?
- 12 A Yes, sir. It would have.
- 13 Q Has Mr. Sumpter, Jim Sumpter, asked ever for
- 14 access to his station?
- 15 A No, sir.
- 16 O How about Melissa or Norma or Jennifer?
- 17 A No, sir. They have not.
- 18 Q What about Carolyn Lutz?
- 19 A No, sir.
- Q Have any of the licensees that are at issue here,
- and I'm just going to use the term licensees and by that
- term I am defining that as Jim Sumpter, Norma Sumpter,
- 23 Melissa Sumpter, Jennifer Hill, Carolyn Lutz. Do you
- 24 understand that?
- 25 A Yes, sir. I do.

- 1 Q Now, have any of those licensees ever asked, to
- 2 your knowledge, you or anybody else at DLB that you are
- 3 aware of for financial information on the revenues or the
- 4 expenses of their licenses?
- 5 A No, sir. They have not.
- 6 Q Have any of those licensees ever asked for
- 7 information on who was doing work on their station?
- 8 A No, they have not.
- 9 Q Has anybody ever asked for information on firing
- 10 people that may be working on those stations?
- 11 A No, they have not.
- 12 Q Now, when you were answering requests for
- admissions, you recall answering those documents in
- 14 association with this particular enforcement action, do you
- 15 not, sir?
- 16 A Yes, I do.
- 17 Q And you've had a chance to look at the request for
- 18 admissions and the answers that you submitted today. Is
- 19 that right?
- 20 A Yes, I have.
- 21 Q The request for admission that was submitted to
- you, was it addressed to David Brasher or was it addressed
- 23 to DLB?
- 24 A To DLB, I think.
- Q Would you take a look at it, please? Let's make

- 1 sure. It's Exhibit --
- JUDGE STEINBERG: It's 30-something.
- 3 MS. LANCASTER: 34, I'm thinking -- 32 --
- 4 JUDGE STEINBERG: I have it. It's 31.
- 5 BY MR. ROMNEY:
- 6 Q Do you have before you Exhibit 31, sir?
- 7 A It's addressed to me. To David Brasher.
- 8 Q And it says -- do you see there on the first page,
- 9 sir, where they define respondent, the second line on the
- 10 first page?
- 11 A Yes, I do.
- 12 Q And respondent is defined as who? Can you
- tell from that who it is talking about? Just in front
- 14 of it --
- 15 A In reference back to line 2, it says -- after
- David Brasher, it says "hereinafter respondent."
- 17 Q And when you answered those requests for
- 18 admissions, you were giving your information. Is that
- 19 right?
- 20 A Yes.
- 21 Q You did not make an effort to answer on behalf of
- 22 the other personnel at DLB, did you?
- A No, I did not.
- Q You did not make an effort to respond on behalf of
- what your dad might know, did you?

- 1 A No, I did not.
- 2 Q You did not make an effort to respond on behalf of
- 3 what your mother might know, did you?
- 4 A No, I did not.
- 5 Q To your knowledge, did Ms. Lancaster ever use the
- 6 radio in her car for personal use?
- 7 A Yes, she did.
- 8 Q To your knowledge, has Mr. Lewis ever used the
- 9 radios in his trucks that he testified about for his
- 10 personal use?
- 11 A Yes, he did.
- 12 Q Is it your understanding, sir that in order to
- operate a series 900 mobile radio that a user, an end user,
- 14 has to have a license from the FCC?
- 15 A Yes, they do.
- 16 Q And your understanding is under the 400 systems,
- 17 the T-band systems that we're talking about in this case,
- 18 that is not required?
- 19 A That is correct.
- 20 Q You've not attempted to mislead the FCC, have you?
- 21 A No, sir. I have not.
- 22 O You've tried to be honest and true in all the
- responses you've ever given to the FCC and to this honorable
- 24 Court?
- 25 A Yes, sir. I have.

1	MR.	ROMNEY:	No	further	questions,	Your	Honor,	of
2	this witness.							
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- JUDGE STEINBERG: All right.
- 4 Ms. Lancaster, finish up.
- 5 REDIRECT EXAMINATION
- 6 BY LANCASTER:
- 7 Q Mr. Brasher, do you recall when you first started
- 8 answering questions posed by Mr. Pedigo you indicated that
- 9 you had been informed that Schwaninger & Associates were
- 10 Washington, D.C. communications counsel? Do you remember
- 11 that? All that series of questions about your counsel?
- 12 A Yes.
- 13 Q And that you had assumed that competent counsel
- 14 had been engaged?
- 15 A Yes.
- 16 Q And you had dealt fully and frankly with counsel?
- 17 A Yes.
- 18 Q Are you now implying that you do not think
- 19 competent counsel was engaged? Was that the purpose of that
- 20 testimony?
- 21 A No.
- MR. PEDIGO: If that question is to me, I'll
- answer it. No, that's not the purpose.
- THE WITNESS: That's not the purpose.
- MS. LANCASTER: It's not to you.

- JUDGE STEINBERG: Let's --
- THE WITNESS: No.
- 3 BY MS. LANCASTER:
- 4 Q And you then testified that you got new counsel
- 5 and you reviewed all the docs with your new lawyers, right?
- 6 A I don't remember that question.
- 7 MR. PEDIGO: Objection. That's misstating
- 8 everything.
- 9 JUDGE STEINBERG: I don't remember --
- 10 BY MS. LANCASTER:
- 11 Q I believe you testified you were candid with your
- 12 lawyers?
- 13 A I don't remember that question.
- 14 JUDGE STEINBERG: Whether he's candid with his --
- 15 well, never mind. I don't remember that.
- MR. PEDIGO: No, Your Honor, he was candid --
- 17 MS. LANCASTER: I've got the word candid in
- 18 quotes, Your Honor.
- JUDGE STEINBERG: But I think it was candid with
- 20 the Commission.
- 21 MR. ROMNEY: With the Commission and with this
- 22 honorable Court.
- JUDGE STEINBERG: Well, you added the honorable
- 24 Court, which I much appreciated.
- MR. ROMNEY: You're welcome, Your Honor.

- JUDGE STEINBERG: I'm sure I've been called less
- than that when I've left the room, but that's okay.
- MS. LANCASTER: But, Your Honor, I believe he said
- 4 he was candid and he talked about his full and frank
- 5 discussions --
- JUDGE STEINBERG: Well, why don't you --
- 7 MS. LANCASTER: -- with his lawyers and that he
- 8 was candid with his lawyers. I believe that guestion was
- 9 asked and answered.
- 10 JUDGE STEINBERG: I don't remember that. And if
- 11 you want to ask the question, see what happens.
- 12 MS. LANCASTER: Well, Your Honor, I think that
- 13 that question and that response opened the door again as to
- 14 what was told to his lawyers --
- MR. ROMNEY: Clearly not.
- 16 JUDGE STEINBERG: -- about the dates of death of
- 17 Ruth and O.C.
- MR. ROMNEY: There is nothing that opened the
- 19 door, Your Honor, in any respect. That is not testimony
- that has been before this Court.
- 21 JUDGE STEINBERG: First, I don't remember the
- 22 testimony and, second, I think you need -- to open the door,
- you need a little bit more than "I was candid with my
- 24 attorneys."
- MR. ROMNEY: Even if that --

- MS. LANCASTER: Well, he's stating he relied on
- 2 his attorneys, Your Honor.
- JUDGE STEINBERG: Well, people have attorneys and
- 4 they rely on their attorneys. But I just don't remember the
- 5 question. I don't remember the answer going to candid with
- 6 attorneys.
- 7 MS. LANCASTER: Your Honor, the import of that
- 8 series of questions of questions implied that he had been
- 9 full and frank and honest and that somehow his attorneys
- 10 were not. That was the import of those questions.
- JUDGE STEINBERG: Well, number one, I don't
- remember the question. Number two, if you want to argue in
- proposed findings, if that question was in fact asked and
- 14 answered, if you want to argue in your proposed findings and
- 15 conclusions that that's the implication it had, you may do
- 16 so and then the other people can respond. Number three,
- 17 I don't think even if it was asked and answered it opens the
- 18 door -- I haven't heard anything here today or at any time
- 19 this week which would allow you to ask the question as to
- 20 what -- and I think what you want to get to is when were the
- 21 attorneys informed of the deaths of the various people and
- I see nothing that's gone on to date which would allow you
- 23 to ask that question.
- MS. LANCASTER: Your Honor, yes, I do want to
- get to that, only in that that would -- he's already